

United States Patent and Trademark Office UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov AUG 0 9 2007 APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/735,975 12/15/2003 Nils Zander TRAUMA 3.0-449 4263 08/01/2007 7590 **EXAMINER** LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK RAMANA, ANURADHA 600 SOUTH AVENUE WEST ART UNIT PAPER NUMBER WESTFIELD, NJ 07090 3733 MAIL DATE DELIVERY MODE

08/01/2007 PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)	
	10/735,975	ZANDER ET AL.	
Office Action Summary	Examiner	Art Unit	
	Anu Ramana	3733	
The MAILING DATE of this communication a Period for Reply	ppears on the cover sheet with	the correspondence address	
A SHORTENED STATUTORY PERIOD FOR REP WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory perio Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mail earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICA  1.136(a). In no event, however, may a reply  Individual apply and will expire SIX (6) MONTH:  Individual apply and will expire SIX (6) MONTH:  Individual apply and will expire SIX (6) MONTH:  Individual apply and will expire SIX (6) MONTH:	TION.  be timely filed  from the mailing date of this communication.  DONED (35 U.S.C. § 133).	
Status			
1) Responsive to communication(s) filed on 23	<u>April 2007</u> .		
,	nis action is non-final.		
3) Since this application is in condition for allow	vance except for formal matters	s, prosecution as to the merits is	
closed in accordance with the practice under	r <i>Ex parte Quayle</i> , 1935 C.D. 1	1, 453 O.G. 213.	
Disposition of Claims			
4)⊠ Claim(s) <u>1-4 and 11-30</u> is/are pending in the	application.		
4a) Of the above claim(s) is/are withdo	rawn from consideration.		
5)⊠ Claim(s) <u>25-27</u> is/are allowed.			
6)⊠ Claim(s) <u>1-4,11,22,23 and 28-30</u> is/are rejec	ted.		
7)⊠ Claim(s) <u>12-21 and 24</u> is/are objected to.			
8) Claim(s) are subject to restriction and	l/or election requirement.		
Application Papers			
9) The specification is objected to by the Exami	ner.		
10)⊠ The drawing(s) filed on <u>15 December 2003</u> is		bjected to by the Examiner.	
Applicant may not request that any objection to the	ne drawing(s) be held in abeyance	. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the corre	ection is required if the drawing(s)	is objected to. See 37 CFR 1.121(d).	
11) The oath or declaration is objected to by the	Examiner. Note the attached C	Office Action or form PTO-152.	
Priority under 35 U.S.C. § 119	an animiku umdon 25 H C.C. S. 1	10(a) (d) or (f)	
12) Acknowledgment is made of a claim for foreign	gn phonty under 35 0.5.C. § 1	19(a)-(u) or (i).	
a) All b) Some * c) None of:	unto have been received		
1. Certified copies of the priority docume		Nicotion No	
2. Certified copies of the priority docume			
3. Copies of the certified copies of the pr		ceived III tills National Stage	
application from the International Bure			
* See the attached detailed Office action for a li	st of the certified copies not re	ceiveu.	
Attachment(s)			
1) Notice of References Cited (PTO-892)		nmary (PTO-413) Mail Date	
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08)</li> <li>Paper No(s)/Mail Date</li> </ul>		rmal Patent Application	
S. Patent and Trademark Office			

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### **DETAILED ACTION**

# Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

Claims 1-4 and 28 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 1, line 9, it is unclear what direction Applicants are referring to by "the axial direction."

In claim 28, it is unclear which cross-bore is being referred to by "said cross bore." Is it the cross-bore at the first end or the second end?

Appropriate correction is required.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-2, 11, 22-23 and 28-30 are rejected under 35 U.S.C. 102(e) as being anticipated by Bramlet et al. (US 6,443,954).

Bramlet et al. disclose a locking nail 2 including: at least one cross bore at each of a first and a second end of the nail; a headed locking screw (5, 1); and a biasing sleeve 4 with a radial flange to fix the screw from rotating with respect to the nail (Figs. 1-3, col. 5, lines 28-67, cols. 6-7 and col. 8, lines 1-64).

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The method steps of claims 22-23 and 28-30 are inherently performed when the Bramlet et al. assembly is used for fracture fixation.

In claim 1, regarding the limitation, "resiliently deforms in an axial direction" it is noted that sleeve 4 is made of titanium, a resilient material that is inherently capable of deforming in an axial direction.

In claim 11, the limitation, "resiliently deformable along the transverse axis" only requires the sleeve to be capable of being deformed along a transverse axis. As discussed previously, sleeve 4 is capable of being deformed along a transverse axis since it is made of titanium, a resilient material.

# Response to Arguments

Applicant's arguments submitted under "REMARKS" in the response filed on April 23, 2007 have been considered but are most in view of the new ground(s) of rejection.

# Allowable Subject Matter

Claims 2-4 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

Claims 12-21 and 24 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Claims 25-27 are allowed.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

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§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anu Ramana whose telephone number is (571) 272-4718. The examiner can normally be reached Monday through Friday between 8:00 am to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo Robert can be reached at (571) 272-4719. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

AR July 22, 2007

ANURADHA RAMANA
PRIMARY EXAMINER
TECHNOLOGY CENTER 3700

madla kawara

TREET ATT AGE

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PTO/SB/08A/B (09-06)

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Substitute for form 1449/PTO

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known				
Application Number	10/735,975-Conf. #4263			
Filing Date	December 15, 2003			
First Named Inventor	Nils Zander			
Art Unit	3733			
Examiner Name	A. Ramana			
Attorney Docket Number	TRAUMA 3.0-449			

<u> </u>			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AL	AA*	US-4,236,512	12-02-1980	Aginsky et al.	
	AB*	US-20040122428-A1	06-24-2004	Johnstone	

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	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	۲۴

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "CITE NO.: Those application(s) which are marked with an single esterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. "Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document." Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 If possible. Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Examiner Considered Signature

718210\_1.DOC

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.

# Notice of References Cited Application/Control No. | Applicant(s)/Patent Under Reexamination ZANDER ET AL. | Examiner | Art Unit | Page 1 of 1

## **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,648,889	11-2003	Bramlet et al.	606/62
	В	US-			
	С	US-			
	D	US-			
	Ε	US-			
	F	US-			
	G	US-			
	Ι	US-			
	1	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

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## **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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